NIHR – OA policy briefing

July 7th 2022 – 14:30-15:30

Sarah Humphreys
Open Scholarship Librarian
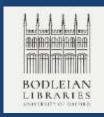
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Timeline of events

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Consultation including an open survey in Autumn 2020
For more details: https://www.nihr.ac.uk/about-us/who-we-are/our-policies-and-guidelines/open-access-policy-review.htm

November 2021
NIHR announced its new policy

1st June 2022
The policy starts for pre-existing and new grants

Only articles **directly resulting from NIHR funded awards** in scope of the policy must be made open access

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What's Changed?

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2014 Policy

Deposit into EPMC on acceptance but at least within 6 months of publication

Costs budgeted within grant

2022 Policy

Deposit in EPMC available **on publication**

'OA Funding Envelope' to cover costs (more later)

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Who does it apply to?

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✓

- NIHR Programmes,
- Personal Awards
- NIHR Global Health Research Portfolio
- Research studies where the research costs are funded in whole or in part by the NIHR.

For NIHR Infrastructure (including NIHR research units and schools): Research studies where the majority of the research costs are funded by the NIHR.

✗

Personal awards that do not fund research
NIHR Clinical Research Network (CRN)
NIHR Clinical Research Facility (CRF)

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What does it apply to?

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Submitted from 1st June 2022
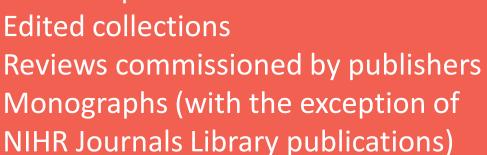
Peer reviewed publications including:

- Research articles
- Non-commissioned Reviews
- Conference papers

Accepted for final publication in either a journal, conference proceeding with an ISSN, or publishing platform*

Out of Scope

Conference abstracts
Non-peer reviewed material
Book chapters
Edited collections
Reviews commissioned by publishers
Monographs (with the exception of NIHR Journals Library publications)

*Publishing platforms for the original publication of research outputs. Platforms that merely serve to aggregate or re-publish content that has already been published elsewhere are not considered as such.

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Requirements

Published under a CC BY (Creative Commons Attribution) licence or Open Government Licence (OGL) if subject to crown copyright. One exception **if approved** (CC BY-ND)

Include a data accessibility statement signposting where/how a researcher can access the underlying data

Acknowledge the funder (Note: Oxford Biomedical Research Centre authors have extra!)

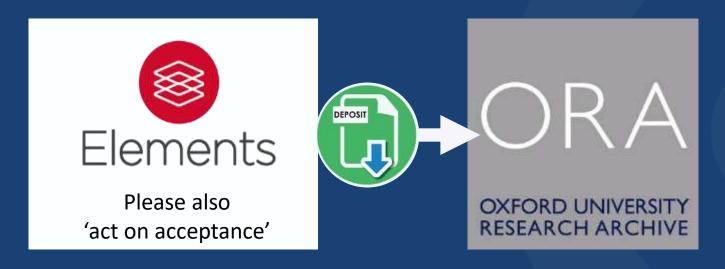Made immediately and freely available on Europe PMC and PubMed Central from publication date (next slide)

https://openaccess.ox.ac.uk/templates

Europe PubMed Central

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Deposit research outputs at the earliest opportunity, in **Europe PubMed Central -** preferably the Version of Record (VoR) but accepted manuscript (AAM) if necessary
This deposit is **required** for all NIHR funded authors.

Deposit 'how to' can be found here: https://plus.europepmc.org/home

Note: If you have paid for OA, journals should automatically deposit into EPMC as a proviso for NIHR payment

Europe PMC

Elements

DEPOSIT

ORA
OXFORD UNIVERSITY
RESEARCH ARCHIVE

Please also
'act on acceptance'

Author Accepted Manuscript:

The version of your research after peer review, when changes have been accepted by the publisher but before article formatting is applied.

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Rights Retention

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Rights Retention is a term for the technique used by researchers publishing in subscription journals. The technique notifies the publisher that you have pre-emptivly applies a creative commons licence to your work.

The below text must be included during the application process to inform the publisher that you have applied a Creative Commons (CC BY) licence to any resulting AAM

'This research was funded in whole, or in part, by NIHR [Grant number]. For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.'*

*For double blind peer review or other anonymised review. The statement can be used without the funder information.

https://openaccess.ox.ac.uk/rights-retention

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NIHR journals library

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Reports resulting from the following NIHR research programmes are required to publish in NIHR's Open Access, peer-reviewed journals in the NIHR Journals Library:

Efficacy and Mechanism Evaluation (EME)
Health and Social Care Delivery Research (HSDR)
Health Technology Assessment (HTA)
Programme Grants for Applied Research (PGfAR)
Public Health Research (PHR)

Journals in the NIHR Journals Library are free to publish in and are fully compliant with the NIHR Open Access policy.

Publications in the NIHR Journals Library are the research findings from the participating NIHR research programmes.

Speculative article submissions, including those from other NIHR programmes are not considered.

For more information about this requirement, please contact: journals.library@nihr.ac.uk

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Paying for open access

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The NIHR will be providing a **'Open access funding envelope'** as part of their funding grants

This is a budgeted set of funds available for researcher to **pay for open access costs ONLY**

These funds will **remain for a 2 years AFTER** the project is completed to cover publications resulting from the research

There are two online forms for extensions and post-2 year reimbursement

https://www.nihr.ac.uk/researchers/manage-your-funding/nihr-open-access-request-forms/request-an-extension-of-an-open-access-funding-period.htm

https://www.nihr.ac.uk/researchers/manage-your-funding/nihr-open-access-request-forms/request-an-open-access-reimbursement-closed-awards-only.htm

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Paying for NIHR open access

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Costs covered

✓

Article Processing Charges (APCs)

Charges via other types of funding models (e.g. membership fees)

Submission fees will only be funded if they result in the open access publication of an article.

Costs not covered

✕

Non-OA charges associated with publication, e.g. page and colour charges

Non-OA publication fees

Open access fees associated with publications outside the scope of the open access policy

Any other research associated costs

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Oxford Publisher Deals

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Deals negotiated by the UK's central negotiator Jisc on behalf of the university. The deals allow Oxford authors to publish OA in hybrid (and some gold) journals.

- ✓ Oxford corresponding authors
- ✓ Use Oxford University email (ox.ac.uk) on submission
- ✓ Choose the CC BY licence

Visit our website : http://openaccess.ox.ac.uk/publisher-deals

Demonstration – Sherpa Fact

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https://www.jisc.ac.uk/sherpa

Any questions?

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Open access team   openaccess@bodleian.ox.ac.uk

Open access contacts   openaccess.ox.ac.uk/contact-us

Open scholarship mailing lists   https://openaccess.ox.ac.uk/keeping-up-to-date

APC Team   apc@bodleian.ox.ac.uk

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NIHR policy pages   https://openaccess.ox.ac.uk/nihr

Sherpa Fact   https://v2.sherpa.ac.uk/fact

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